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PTO/SB/01 (08-03)
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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted With Initial Filing
OR
☒ Declaration
Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	413127
First Named Inventor	Frank J. Hammond, II
COMPLETE IF KNOWN	
Application Number	10/758,852
Filing Date	January 16, 2004
Art Unit	2185
Examiner Name	Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR ENTERPRISE SECURITY WITH COLLABORATIVE PEER TO PEER ARCHITECTURE

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **01/16/2004** as United States Application Number or PCT International

Application Number **10/758,852** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number		30955		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Frank J., II			Family Name or Surname Hammond		
Inventor's Signature <i>Frank J. Hammond</i>			Date 5/4/04		
Residence: City Palmer Lake Colorado Springs		State CO	Country USA	Citizenship USA	
Mailing Address P.O. Box 712 1725 Spring Valley Drive					
City Colorado Springs Palmer Lake		State CO	Zip 80921	Country USA	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Frank J., Jr.			Family Name or Surname Ricotta		
Inventor's Signature <i>Frank J. Ricotta</i>			Date 5/9/04		
Residence: City Colorado Springs		State CO	Country USA	Citizenship USA	
Mailing Address 5790 Regal View Ct.					
City Colorado Springs		State CO	Zip 80919	Country USA	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					



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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 1 of 2

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Hans Michael		Dykstra					
Inventor's Signature <i>Hans Michael Dykstra</i>		Date <i>5/4/04</i>					
Residence: City	Monument	State	CO	Country	USA	Citizenship	USA
Mailing Address 19985 High Meadow Drive							
Mailing Address							
City	Monument	State	CO	ZIP	80132	Country	USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Blake Andrew		Williams					
Inventor's Signature <i>Blake A Williams</i>		Date <i>5/6/04</i>					
Residence: City	Colorado Springs	State	CO	Country	USA	Citizenship	USA
Mailing Address 5175 Pinon Valley Road							
Mailing Address							
City	Colorado Springs	State	CO	Zip	80919	Country	USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Steven James		Carlander					
Inventor's Signature <i>St James</i>		Date <i>5/4/04</i>					
Residence: City	Monument	State	CO	Country	USA	Citizenship	USA
Mailing Address 426 Oxbow Drive							
Mailing Address							
City	Monument	State	CO	Zip	80132	Country	USA

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet


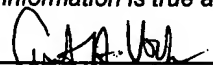
Page 2 of 2

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Sarah		Williams Gerber	
Inventor's Signature <i>Sarah W. Gerber</i>		Date <i>5-04-04</i>	
Residence: City Colorado Springs	State CO	Country USA	Citizenship USA
Mailing Address 913 Hans brinker St.			
Mailing Address			
City Colorado Springs	State CO	ZIP 80907	Country USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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<div style="display: flex; justify-content: space-between;"><div>Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)</div><div style="text-align: center;">RECORDATION FORM COVER SHEET PATENTS ONLY</div><div>U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office</div></div>	
Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼	
To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.	
<div>1. Name of conveying party(ies): Frank Hammond, II Frank J. Ricotta Jr. Hans Michael Dykstra Blake Andrew Williams Steven J. Carlander Sarah Williams Gerber</div> <div style="text-align: center; margin: 10px 0;"></div> <div>Additional name of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div> <div>3. Nature of conveyance: <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Assignment</div><div><input type="checkbox"/> Merger</div></div><div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Security Agreement</div><div><input type="checkbox"/> Change of Name</div></div><div><input type="checkbox"/> Other _____</div></div> <div>Execution Date: <u>May 4 and 6, 2004</u></div>	<div>2. Name and address of receiving party(ies) Name: <u>Innerwall, Inc.</u> Internal Address: _____ _____ _____ Street Address: <u>4945 North 30th Street</u> _____ City: <u>Colorado Springs</u> State: <u>CO</u> Zip: <u>80919</u> Additional Name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>
<div>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>10/758,852</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>	
<div>5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>Curtis Vock, Lathrop & Gage L.C.</u> Internal Address: <u>4845 Pearl East Circle, Suite 300</u> _____ _____ Street Address: _____ _____ City: <u>Boulder</u> State: <u>CO</u> Zip: <u>80303</u></div>	<div>6. Total number of applications and patents involved: <u>1</u></div> <div>7. Total fee (37 CFR 3.41) \$ <u>40</u> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Enclosed</div><div><input checked="" type="checkbox"/> Authorized to be charged to deposit account</div></div></div> <div>8. Deposit account number: <u>12-0600</u> (Attach duplicate copy of this page if paying by deposit account)</div>
DO NOT USE THIS SPACE	
<div>9. Statement and signature. <div style="display: flex; justify-content: space-between; align-items: flex-end;"><div style="text-align: center;"><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Curtis A. Vock, Reg. No. 38,356</u> Name of Person Signing</div><div style="text-align: center;"> Signature</div><div style="text-align: center;"><u>8 June 2004</u> Date</div></div></div>	

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ASSIGNMENT

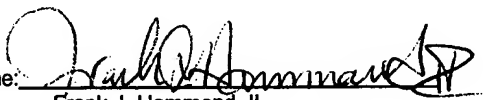
For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, Frank J. Hammond, II, Frank J. Ricotta, Jr., Hans Michael Dykstra, Blake Andrew Williams, Steven J. Carlander and Sarah Williams Gerber do hereby sell, assign, and transfer to: INNERWALL, INC., a corporation of Delaware, having a place of business at 4945 North 30th Street, Colorado Springs, Colorado 80919 ("Assignee"), its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and improvements which are disclosed in the nonprovisional application for United States Letters Patent which was filed on 16 January 2004 and assigned Serial No. 10/758,852, and is entitled:

SYSTEMS AND METHODS FOR ENTERPRISE SECURITY WITH COLLABORATIVE PEER TO PEER ARCHITECTURE

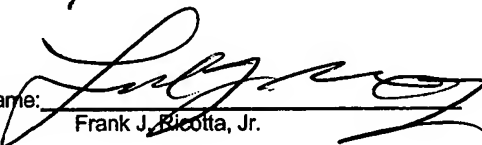
and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications to patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant, with said Assignee, its successors, assigns and legal representatives that no assignment, grant mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Name: 
Frank J. Hammond, II

Date: 5/4/04

Name: 
Frank J. Ricotta, Jr.

Date: 5/4/04

Name: 
Hans Michael Dykstra

Date: 5/4/04

Name: 
Blake Andrew Williams

Date: 5/6/04

Name: 
Steven J. Carlander

Date: 5/4/04

Name: 
Sarah Williams Gerber

Date: 5/4/04

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Frank J. Hammond, II, et al.Application No./Patent No.: 10/758,852Filed/Issue Date: 16 January 2004Entitled: SYSTEMS AND METHODS FOR ENTERPRISE SECURITY WITH COLLABORATIVE PEER TO PEER ARCHITECTURE

Innerwall, Inc. _____, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

5/4/04
Date719.264.2710
Telephone NumberFrank J. Ricotta, Jr.

Typed or printed name


SignaturePresident, Innerwall, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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